

Important Client Tax-Reporting Notice

Tax Statement Mailing Dates

Baird will continue to process the mailing of 2013 Tax Statements in two groups:

February 18, 2014 - We anticipate the majority of accounts will be mailed on or before this date. Certain accounts will be held and not mailed by February 18 if third parties (e.g., exchange-traded funds, mutual funds, real estate investment trusts and unit investment trust) have not provided their year-end tax information adjustments prior to the processing deadline date.

March 17, 2014 - All remaining Baird Tax Statements will be mailed by this date.

Reminder – A Baird 2013 Tax Statement will be issued if you received dividends or interest totaling at least \$ 10 in aggregate or if you received any gross proceeds.