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Top Advisor Recognition List Disclosures for Merrill Wealth Management Advisors

Barron's

Barron's **"Top 100 Financial Advisors"**

Barron's "Top 100 Financial Advisors" 2019

Barron's "Top 100 Financial Advisors" 2018

Barron's "America's Top 100 Financial Advisors" 2017

Barron's "America's Top 100 Financial Advisors" 2016

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Barron's "America's Top 100 Financial Advisors" 2013

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Barron's **"America's Top 1,000 Financial Advisors: State-by-State"**

Barron's "America's Top 1,000 Financial Advisors: State-by-State" 2013

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Barron's "America's Top 1,000 Financial Advisors: State-by-State" 2011

Barron's "America's Top 1,000 Financial Advisors: State-by-State" 2010

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Barron's "America's Top 1,200 Financial Advisors: State-by-State" 2017

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Financial Times**–"Top 401 Retirement Advisers"**

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Forbes "Best-in-State Wealth Advisors" 2019

Forbes "Best-in-State Wealth Advisors" 2018

Forbes **"Top Next-Generation Wealth Advisors"**

Forbes "Top Next-Generation Wealth Advisors" 2019

Forbes "Top Next-Generation Wealth Advisors" 2018

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Forbes' "America's Top Women Wealth Advisors" 2019

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Working Mother/SHOOK Research's Top Wealth Adviser Moms in 2017

Barron's "Top 100 Financial Advisors"

Barron's **"Top 100 Financial Advisors" 2019 list**	Source: *Barron's* "Top 100 Financial Advisors" list, April 20, 2019. Advisors considered for the "Top 100 Financial Advisors" list ranking have a minimum of seven years financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records and community involvement, among others. Barron's does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. Barron's is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from Barron's are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.
Barron's **"Top 100 Financial Advisors" 2018 list**	Source: *Barron's* "Top 100 Financial Advisors" list, April 23, 2018. Advisors considered for the "Top 100 Financial Advisors" list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from *Barron's* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor. For more information, visit https://www.barrons.com/report/top-financial-advisors/100/2018.
Barron's **"America's Top 100 Financial Advisors" 2017 list**	Source: *Barron's* "America's Top 100 Financial Advisors" list, April 17, 2017. Advisors considered for the "America's Top 100 Financial Advisors" list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/100/2017.
Barron's **"America's Top 100 Financial Advisors" 2016 list**	Source: *Barron's* "America's Top 100 Financial Advisors" list, April 18, 2016. Advisors considered for the "America's Top 100 Financial Advisors" list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/100/2016.
Barron's **"America's Top 100 Financial Advisors" 2015 list**	Source: *Barron's* "America's Top 100 Financial Advisors" list, March 23, 2015. Advisors considered for the "America's Top 100 Financial Advisors" list ranking have a minimum of seven of years financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/100/2015.
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Barron's **"America's Top 100 Financial Advisors" 2013 list**	Source: *Barron's* "America's Top 100 Financial Advisors" list, April 25, 2013. Advisors considered for the "America's Top 100 Financial Advisors" list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/100/2013.

***Barron's* “America's Top 100 Financial Advisors” 2012 list**	Source: *Barron's* “America's Top 100 Financial Advisors” list, April 16 , 2012. Advisors considered for the “America's Top 100 Financial Advisors” list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/100/2012.

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Barron's “America's Top 1,000 Financial Advisors: State-by-State”

***Barron's* “America's Top 1,000 Financial Advisors: State-by-State” 2013 list**	Source: *Barron's* magazine, Feb. 18, 2013, Top 1,000 Financial Advisors list. Advisors considered for the “America's Top 1,000 Financial Advisors: State-by-State” ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2013.
***Barron's* “America's Top 1,000 Financial Advisors: State-by-State” 2012 list**	Source: *Barron's* magazine, February 20, 2012, Top 1,000 Financial Advisors list. Advisors considered for the “America's Top 1,000 Financial Advisors: State-by-State” ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2012.
***Barron's* “America's Top 1,000 Financial Advisors: State-by-State” 2011 list**	Source: *Barron's* magazine, February 21, 2011, Top 1,000 Financial Advisors list. Advisors considered for the “America's Top 1,000 Financial Advisors: State-by-State” ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2011.
***Barron's* “America's Top 1,000 Financial Advisors: State-by-State” 2010 list**	Source: *Barron's* magazine, February 22, 2010, Top 1,000 Financial Advisors list. Advisors considered for the “America's Top 1,000 Financial Advisors: State-by-State” ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2010.
***Barron's* “America's Top 1,000 Financial Advisors: State-by-State” 2009 list**	Source: *Barron's* magazine, February 9, 2009, Top 1,000 Financial Advisors list. Advisors considered for the “America's Top 1,000 Financial Advisors: State-by-State” ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2009.

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Barron's “Top 1,200 Financial Advisors: State-by-State”

***Barron's* “Top 1,200 Financial Advisors” 2019 list**	Source: *Barron's* “Top 1,200 Financial Advisors” list, March 11, 2019. The ranking considered advisors with a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. This is a list of the top advisors in each state, with the number of ranking spots determined by each state's population and wealth. Other quantitative and qualitative measures include assets under management, revenues generated by advisors for their firms, and the quality of the advisors' practices, regulatory records, internal company documents, and 100-plus points of data provided by the advisors themselves. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from *Barron's* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.

***Barron's* "Top 1,200 Financial Advisors" 2018 list**	Source: *Barron's* "Top 1,200 Financial Advisors" list, March 12, 2018. Advisors considered for the "Top 1,200 Financial Advisors" ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records and community involvement, among others. This is a list of the top advisors in each state, with the number of ranking spots determined by each state's population and wealth. The rankings are based on assets under management, revenues generated by advisors for their firms, and the quality of the advisors' practices. Investment performance is not an explicit criterion because performance is often a function of each client's appetite for risk. In evaluating advisors, we examine regulatory records, internal company documents, and 100-plus points of data provided by the advisors themselves. *Barron's* does not receive compensation from advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from *Barron's* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2018.
***Barron's* "America's Top 1,200 Financial Advisors: State-by-State" 2017 list**	Source: *Barron's* "America's Top 1,200 Financial Advisors: State-by-State" list, March 6, 2017. Advisors considered for the "America's Top 1,200 Financial Advisors: State-by-State" ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2017.
***Barron's* "America's Top 1,200 Financial Advisors: State-by-State" 2016 list**	Source: *Barron's* "America's Top 1,200 Financial Advisors: State-by-State" list, March 7, 2016. Advisors considered for the "America's Top 1,200 Financial Advisors: State-by-State" ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2016.
***Barron's* "America's Top 1,200 Financial Advisors: State-by-State" 2015 list**	Source: *Barron's* "America's Top 1,200 Financial Advisors: State-by-State" list, February 23 , 2015. Advisors considered for the "America's Top 1,200 Financial Advisors: State-by-State" ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2015.
***Barron's* "America's Top 1,200 Financial Advisors: State-by-State" 2014 list**	Source: *Barron's* "America's Top 1,200 Financial Advisors: State-by-State" list, February 24 , 2014. Advisors considered for the "America's Top 1,200 Financial Advisors: State-by-State" ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/1000/2014.

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Barron's "Top 100 Women Financial Advisors"

***Barron's* "Top 100 Women Financial Advisors" 2019 list**	Source: *Barron's* "Top 100 Women Financial Advisors" list, June 8, 2019. Advisors considered for the "Top 100 Women Financial Advisors" list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from *Barron's* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.
***Barron's* "Top 100 Women Financial Advisors" 2018 list**	Source: *Barron's* "Top 100 Women Financial Advisors" list, June 11, 2018. Advisors considered for the "Top 100 Women Financial Advisors" list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from *Barron's* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor. For more information, visit https://www.barrons.com/report/top-financial-advisors/women/2018.

***Barron's* “Top 100 Women Financial Advisors” 2017 list**

Source: *Barron's* “Top 100 Women Financial Advisors” list, June 5, 2017. Advisors considered for the “Top 100 Women Financial Advisors” list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/women/2017.

***Barron's* “Top 100 Women Financial Advisors” 2016 list**

Source: *Barron's* “Top 100 Women Financial Advisors” list, June 6, 2016. Advisors considered for the “Top 100 Women Financial Advisors” list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/women/2016.

***Barron's* “Top 100 Women Financial Advisors” 2015 list**

Source: *Barron's* “Top 100 Women Financial Advisors” list, June 7, 2015. Advisors considered for the “Top 100 Women Financial Advisors” list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/women/2015.

***Barron's* “Top 100 Women Financial Advisors” 2014 list**

Source: *Barron's* “Top 100 Women Financial Advisors” list, June 9, 2014. Advisors considered for the “Top 100 Women Financial Advisors list” ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/women/2014.

***Barron's* “Top 100 Women Financial Advisors” 2013 list**

Source: *Barron's* “Top 100 Women Financial Advisors” list, June 10, 2013. Advisors considered for the “Top 100 Women Financial Advisors list” ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/women/2013.

***Barron's* “Top 100 Women Financial Advisors” 2012 list**

Source: *Barron's* “Top 100 Women Financial Advisors” list, June 4, 2012. Advisors considered for the “Top 100 Women Financial Advisors” list ranking have a minimum of seven years of financial services experience and have been employed at their current firm for at least one year. Quantitative and qualitative measures used to determine the Advisor rankings include: client assets, return on assets, client satisfaction/retention, compliance records, and community involvement, among others. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.barrons.com/report/top-financial-advisors/women/2012.

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Barron's “Top 50 Institutional Consultants”– GIC/BAML Only

***Barron's* “Top 50 Institutional Consultants” 2019 list**

Source: *Barron's* “Top 50 Institutional Consultants” list, April 20, 2019. The advisors in the ranking were evaluated on a range of criteria, including institutional investment assets overseen by the advisor and his/her team, the revenue generated by those assets, the number of clients served, and the number of team members and their regulatory records. Also considered were the advanced professional designations and accomplishment represented on the team. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from *Barron's* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.

***Barron's* "Top 50 Institutional Consultants" 2018 list**	Source: *Barron's* "Top 50 Institutional Consultants" list, April 23, 2018. The advisors in the ranking were evaluated on a range of criteria, including institutional investment assets overseen by the advisor and his/her team, the revenue generated by those assets, the number of clients served, and the number of team members and their regulatory records. Also considered were the advanced professional designations and accomplishment represented on the team. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. Rankings and recognition from *Barron's* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor. For more information, visit http://online.wsj.com/public/resources/documents/Top50InstitutionalConsultants.pdf.
***Barron's* "Top 30 Institutional Consultants" 2017 list**	Source: *Barron's* "Top 30 Institutional Consultants" list, April 17, 2017. The advisors in the ranking were evaluated on a range of criteria, including institutional investment assets overseen by the advisor and his/her team, the revenue generated by those assets, the number of clients served, and the number of team members and their regulatory records. Also considered were the advanced professional designations and accomplishment represented on the team. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. For more information, visit https://www.barrons.com/articles/where-the-big-money-gets-its-advice-1492233216.
***Barron's* "Top 30 Institutional Consultants" 2016 list**	Source: *Barron's* "Top Institutional Financial Advisors" list, April 16, 2016. The ranking features the top institutional consulting teams from traditional brokerage firms as well as registered investment advisor (RIA) firms and independent broker-dealers. The teams in the ranking were evaluated on a range of criteria, including institutional investment assets overseen by the team, the revenue generated by those assets, the number of clients served by the team, and the number of team members and their regulatory records. Also considered were the advanced professional designations and accomplishments represented on the team. *Barron's* does not receive compensation from Advisors, participating firms and their affiliates, or the media in exchange for rankings. *Barron's* is a trademark of Dow Jones & Company, Inc. All rights reserved. For more information, visit https://www.barrons-conferences.com/uploads/5/4/4/3/54430727/top30institutional2016.pdf.

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Wealth Management "Top 100 Wirehouse Advisors"

***Wealth Management* "Top 100 Wirehouse Advisors in 2016" list**	Source: *Wealth Management* Magazine, May 1, 2016, "Top 100 Wirehouse Advisors in 2016" list. Advisors on the *Wealth Management* magazine "Top 100 Wirehouse Advisors in 2016" list are ranked exclusively by assets under management custodied at the four wirehouse firms. Only advisors for whom 80% or more of assets correspond to retail clients were eligible for the list. Wealth Management.com does not receive any compensation from financial advisors, participating firms and affiliates, or the media in exchange for rankings. *Wealth Management* Magazine is a trademark of Penton Media, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.wealthmanagement.com/rankings/top-100-wirehouse-advisors-2016.
***Wealth Management* "Top 100 Wirehouse Advisors in 2015" list**	Source: *Wealth Management* Magazine, May 3, 2015, "Top 100 Wirehouse Advisors in 2015" list. Advisors on the *Wealth Management* magazine "Top 100 Wirehouse Advisors in 2015" list are ranked exclusively by assets under management custodied at the four wirehouse firms. Only advisors for whom 80% or more of assets correspond to retail clients were eligible for the list. Wealth Management.com does not receive any compensation from financial advisors, participating firms and affiliates, or the media in exchange for rankings. *Wealth Management* Magazine is a trademark of Penton Media, Inc. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.wealthmanagement.com/rankings/top-100-wirehouse-advisors-2015.

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On Wall Street "Top 40 Advisors Under 40"

***On Wall Street*- "Top 40 Advisors Under 40" 2019 list**	*Source: *On Wall Street's* "Top 40 Advisors Under 40" list, January 2019. The ranking for the "Top 40 Advisors Under 40" list is compiled using data solicited from the advisors' employers. Advisors considered must be under 40 years old and work at an employee brokerage firm. Individual trailing 12-month production for each advisor was the primary ranking criteria. *On Wall Street* is a national publication serving the wealth management industry and retail brokers working in the employee channel for wirehouses and regional broker-dealers. Rankings and recognition from *On Wall Street* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.
***On Wall Street*- "Top 40 Advisors Under 40" 2018 list**	*Source: *On Wall Street* is a national publication serving the wealth management industry and retail brokers working in the employee channel for wirehouses and regional broker-dealers. In January 2018, *On Wall Street* published online its "Top 40 Advisors Under 40" list, compiled using data solicited from the advisors' employers. Individual trailing 12-month production for each advisor was the primary ranking criteria. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://onwallstreet.financial-planning.com/top-40-under-40.
***On Wall Street*- "Top 40 Advisors Under 40" 2017 list**	*Source: *On Wall Street* is a national publication serving the wealth management industry and retail brokers working in the employee channel for wirehouses and regional broker-dealers. In January 2017, *On Wall Street* published online "Top 40 Advisors Under 40" list, compiled using data solicited from the advisors' employers. Individual trailing 12-month production for each advisor was the primary ranking criteria. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://onwallstreet.financial-planning.com/top-40-under-40.

***On Wall Street*- “Top 40 Advisors Under 40” 2016 list**

*Source: *On Wall Street* is a national publication serving the retail brokers and financial services industry. In the January, 2016 issue, *On Wall Street* published “Top 40 Advisors Under 40” list, compiled using data solicited from the advisors’ employers. Individual trailing 12-month production for each advisor was the primary ranking criteria. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://onwallstreet.financial-planning.com/top-40-under-40.

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Financial Times –“Top 400 Financial Advisers”

***Financial Times* –“Top 400 Financial Advisers” 2019 list**

Source: The *Financial Times* “Top 400 Financial Advisers” (FT 400) list is an independent listing produced by the *Financial Times* (April 2019). The FT 400 is based on data gathered from firms and verified by broker-dealer home offices, regulatory disclosures, and the FT’s research. The listing reflects each advisor’s performance in six primary areas, including assets under management, asset growth, compliance record, experience, credentials and accessibility as identified by the FT. Neither the brokerages nor the advisors pay a fee to the *Financial Times* in exchange for inclusion in the FT 400. Rankings and recognition from *Financial Times* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.

***Financial Times* –“Top 400 Financial Advisers” 2018 list**

Source: The *Financial Times* “Top 400 Financial Advisers” (FT 400) is an independent listing produced by the *Financial Times* (March, 2018). The FT 400 is based on data gathered from firms and verified by broker-dealer home offices, regulatory disclosures, and the FT’s research. The listing reflects each adviser’s performance in six primary areas, including assets under management, asset growth, compliance record, experience, credentials and accessibility as identified by the FT. Neither the brokerages nor the advisers pay a fee to the *Financial Times* in exchange for inclusion in the FT 400. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit http://prod-upp-image-read.ft.com/219a1dce-2c60-11e8-9b4b-bc4b9f08f381.

***Financial Times* –“Top 400 Financial Advisers” 2017 list**

Source: The *Financial Times* “Top 400 Financial Advisers” (FT 400) is an independent listing produced by the *Financial Times* (March, 2017). The FT 400 is based on data gathered from firms and verified by broker-dealer home offices, regulatory disclosures, and the FT’s research. The listing reflects each adviser’s performance in six primary areas, including assets under management, asset growth, compliance record, experience, credentials and accessibility as identified by the FT. Neither the brokerages nor the advisers pay a fee to the *Financial Times* in exchange for inclusion in the FT 400. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit http://im.ft-static.com/content/images/21339400-1479-11e7-b0c1-37e417ee6c76.pdf.

***Financial Times* –“Top 400 Financial Advisers” 2016 list**

Source: The *Financial Times* “Top 400 Financial Advisers” (FT 400) is an independent listing produced by the *Financial Times* (March, 2016). The FT 400 is based on data gathered from firms and verified by broker-dealer home offices, regulatory disclosures, and the FT’s research. The listing reflects each adviser’s performance in six primary areas, including assets under management, asset growth, compliance record, experience, credentials and accessibility as identified by the FT. Neither the brokerages nor the advisers pay a fee to the *Financial Times* in exchange for inclusion in the FT 400. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit http://www.money-media.com/images/email/FT_400/Top-Financial-Advisers-2016.pdf.

***Financial Times* –“Top 400 Financial Advisers” 2015 list**

Source: The *Financial Times* “Top 400 Financial Advisers” (FT 400) is an independent listing produced by the *Financial Times* (March, 2015). The FT 400 is based on data gathered from firms and verified by broker-dealer home offices, regulatory disclosures, and the FT’s research. The listing reflects each adviser’s performance in six primary areas, including assets under management, asset growth, compliance record, experience, credentials and accessibility as identified by the FT. Neither the brokerages nor the advisers pay a fee to the *Financial Times* in exchange for inclusion in the FT 400. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.ft.com/content/72324484-cb3f-11e5-a8ef-ea66e967dd44.

***Financial Times* –“Top 400 Financial Advisers” 2014 list**

Source: The *Financial Times* “Top 400 Financial Advisers” (FT 400) is an independent listing produced by the *Financial Times* (March, 2014). The FT 400 is based on data gathered from firms and verified by broker-dealer home offices, regulatory disclosures, and the FT’s research. The listing reflects each adviser’s performance in six primary areas, including assets under management, asset growth, compliance record, experience, credentials and accessibility as identified by the FT. Neither the brokerages nor the advisers pay a fee to the *Financial Times* in exchange for inclusion in the FT 400. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit http://im.ft-static.com/content/images/ce3f96a2-b30c-11e3-8038-00144feabdc0.pdf.

***Financial Times* –“Top 400 Financial Advisers” 2013 list**

Source: The *Financial Times* “Top 400 Financial Advisers” (FT 400) is an independent listing produced by the *Financial Times* (March, 2013). The FT 400 is based on data gathered from firms and verified by broker-dealer home offices, regulatory disclosures, and the FT’s research. The listing reflects each adviser’s performance in six primary areas, including assets under management, asset growth, compliance record, experience, credentials and accessibility as identified by the FT. Neither the brokerages nor the advisers pay a fee to the *Financial Times* in exchange for inclusion in the FT 400. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://aboutus.ft.com/en-gb/announcements/financial-times-announces-top-400-us-advisors-of-2013/.

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Financial Times –“Top 401 Retirement Advisers”

***Financial Times* –“Top 401 Retirement Advisers” 2017 list**	Source: The *Financial Times* “Top 401 Retirement Advisers” list (FT 401) is an independent listing produced by the *Financial Times* (September 2017). The FT 401 is based on data gathered from financial advisors, regulatory disclosures, and the FT’s research. The listing reflects each advisor’s status in seven primary areas, including Defined Contribution (DC) plan assets under management, growth in DC plan business, specialization in DC plan business, and other factors. This award does not evaluate the quality of services provided to clients and is not indicative of this advisor’s future performance. Neither the advisors nor their parent firms pay a fee to the *Financial Times* in exchange for inclusion in the FT 401. For more information, visit https://www.ft.com/content/8289a1ca-8f19-11e7-a352-e46f43c5825d?mhq5j=e5.
***Financial Times* –“Top 401 Retirement Advisers” 2016 list**	Source: The *Financial Times* “Top 401 Retirement Plan Advisers” is an independent listing produced by the *Financial Times* (September 2016). The FT 401 is based on data gathered from financial advisers, firms, regulatory disclosures, and the FT’s research. The listing reflects each advisor’s performance in eight primary areas, including: DC plan assets under management; DC plan assets as a percentage of overall AUM; growth in DC plan AUM; growth in DC plans advised; DC plan employee participation; professional designations; experience; and compliance record. Neither the brokerages nor the advisers pay a fee to the *Financial Times* in exchange for inclusion in the FT 401. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://aboutus.ft.com/en-gb/announcements/financial-times-reveal-the-top-401-retirement-advisers-of-2016/.
***Financial Times* –“Top 401 Retirement Advisers” 2015 list**	Source: The *Financial Times* “Top 401 Retirement Plan Advisers” is an independent listing produced by the *Financial Times* (September 2015). The FT 401 is based on data gathered from financial advisers, firms, regulatory disclosures, and the FT’s research. The listing reflects each adviser’s performance in eight primary areas, including: DC plan assets under management; DC plan assets as a percentage of overall AUM; growth in DC plan AUM; growth in DC plans advised; DC plan employee participation; professional designations; experience; and compliance record. Neither the brokerages nor the advisers pay a fee to the *Financial Times* in exchange for inclusion in the FT 401. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://aboutus.ft.com/en-gb/announcements/financial-times-reveals-the-top-401-retirement-advisers-of-2015/.

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Forbes’ “Best-in-State Wealth Advisors”

***Forbes*’ “Best-in-State Wealth Advisors” 2019 list**	Source: *Forbes*’, “Best-in-State Wealth Advisors” list, February 2019. The ranking for this list by SHOOK Research is based on due diligence meetings to evaluate each advisor qualitatively, a major component of a ranking algorithm that includes: client retention, industry experience, review of compliance records, firm nominations and quantitative criteria, including: assets under management and revenue generated for their firms. *Forbes* is a trademark of Forbes Media LLC. All rights reserved. Rankings and recognition from Forbes/SHOOK Research are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.
***Forbes*’ “Best-in-State Wealth Advisors” 2018 list**	Source: *Forbes*’ “Best-in-State Wealth Advisors” ranking in February 2018 was developed by SHOOK Research and is based on in-person and telephone due diligence meetings to evaluate each advisor qualitatively, a major component of a ranking algorithm that includes: client retention, industry experience, review of compliance records, firm nominations; and quantitative criteria, including: assets under management and revenue generated for their firms. Investment performance is not a criterion because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC and not representative nor indicative of any one client’s experience, future performance, or investment outcome. Neither *Forbes* nor SHOOK Research receives compensation in exchange for placement on the ranking. *Forbes* is a trademark of *Forbes* Media LLC. All rights reserved. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.forbes.com/best-in-state-wealth-advisors/#d7e9673291d1.

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Forbes’/SHOOK “Top Next-Generation Wealth Advisors”

***Forbes*’ “Top Next-Generation Wealth Advisors” 2019 list**	Source: *Forbes*’ “Top Next-Generation Wealth Advisors” list *Forbes*’ “Top Next-Generation Wealth Advisors” list, July 24, 2019, was developed by SHOOK Research. Advisors considered for this ranking were born in 1980 or later with a minimum of four years of relevant experience; advisors have: built their own practices and lead their teams; joined teams and are viewed as future leadership; or a combination of both. Ranking algorithm is based on qualitative measures: telephone and in-person interviews, client retention, industry experience, credentials, review of compliance records, firm nominations; and quantitative criteria, such as: assets under management and revenue generated for their firms. Investment performance is not a criteria because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC and not representative nor indicative of any one client’s experience, future performance, or investment outcome. Neither Forbes nor SHOOK Research receives compensation in exchange for placement on the ranking. Forbes is a trademark of Forbes Media LLC. All rights reserved. Rankings and recognition from Forbes are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.

***Forbes*' "Top Next-Generation Wealth Advisors" 2018 list**	Source: *Forbes*' "Top Next-Generation Wealth Advisors" list, July 25, 2018, was developed by SHOOK Research. Advisors considered for this ranking were born in 1980 or later with a minimum of four years of relevant experience; advisors have: built their own practices and lead their teams; joined teams and are viewed as future leadership; or a combination of both. Ranking algorithm is based on qualitative measures: telephone and in-person interviews, client retention, industry experience, credentials, review of compliance records, firm nominations; and quantitative criteria, such as: assets under management and revenue generated for their firms. Investment performance is not a criteria because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC and not representative nor indicative of any one client's experience, future performance or investment outcome. Neither *Forbes* nor SHOOK Research receives compensation in exchange for placement on the ranking. *Forbes* is a trademark of Forbes Media LLC. All rights reserved. For more information, visit https://www.forbes.com/top-next-gen-advisors/#c7072b16df01.
***Forbes*' "Top Next-Generation Wealth Advisors" 2017 list**	Source: *Forbes*' "Top Next-Generation Wealth Advisors" list, July 25, 2017, was developed by SHOOK Research. Advisors considered for this ranking were born in 1980 or later with a minimum of four years of relevant experience; advisors have: built their own practices and lead their teams; joined teams and are viewed as future leadership; or a combination of both. Ranking algorithm is based on qualitative measures: telephone and in-person interviews, client retention, industry experience, credentials, review of compliance records, firm nominations; and quantitative criteria, such as: assets under management and revenue generated for their firms. Investment performance is not a criteria because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOKResearch, LLC, which does not receive compensation from the advisors or their firms in exchange for placement on a ranking. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.forbes.com/top-millennial-advisors/#324f4f545aed.

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Forbes' "America's Top Women Wealth Advisors"

***Forbes*' "America's Top Women Wealth Advisors" 2019 list**	Source: *Forbes* "America's Top Women Wealth Advisors" list, April 30, 2019. *Forbes*' "America's Top Women Wealth Advisors" ranking was developed by SHOOK Research and is based on in-person and telephone due diligence meetings and a ranking algorithm that includes: client retention, industry experience, review of compliance records, firm nominations; and quantitative criteria, including: assets under management and revenue generated for their firms. Investment performance is not a criterion because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC and not representative nor indicative of any one client's experience, future performance, or investment outcome. Neither *Forbes* nor SHOOK Research receives compensation in exchange for placement on the ranking. *Forbes* is a trademark of Forbes Media LLC. All rights reserved. Rankings and recognition from *Forbes* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor.
***Forbes*' "America's Top Women Wealth Advisors" 2018 list**	Source: *Forbes*' "America's Top Women Wealth Advisors" ranking, May 1, 2018, was developed by SHOOK Research and is based on in-person and telephone due diligence meetings and a ranking algorithm that includes: client retention, industry experience, review of compliance records, firm nominations; and quantitative criteria, including: assets under management and revenue generated for their firms. Investment performance is not a criterion because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC and not representative nor indicative of any one client's experience, future performance, or investment outcome. Neither *Forbes* nor SHOOK Research receives compensation in exchange for placement on the ranking. *Forbes* is a trademark of *Forbes* Media LLC. All rights reserved. For more information, visit https://www.forbes.com/top-women-advisors/#1f3a74b251f4.
***Forbes*' "America's Top Women Wealth Advisors" 2017 list**	Source: "America's Top Women Wealth Advisors" ranking, February 28, 2017, was developed by SHOOK Research and is based on in-person and telephone due diligence meetings and a ranking algorithm that includes: client retention, industry experience, review of compliance records, firm nominations; and quantitative criteria, including: assets under management and revenue generated for their firms. Investment performance is not a criterion because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC and not indicative of future performance or representative of any one client's experience. Neither *Forbes* nor SHOOK Research receives compensation in exchange for placement on the ranking. For more information: www.SHOOKresearch.com. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.forbes.com/sites/halahtouryalai/2017/02/28/americas-top-women-advisors/#3f451d1d1e17.

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Forbes' "America's Top Wealth Advisors"

***Forbes*' "America's Top Wealth Advisors" 2019**	Source: *Forbes*' Magazine (September, 2019). Data provided by SHOOK™ Research, LLC. Data as of 6/30/19. The *Forbes* ranking of "America's Top Wealth Advisors," developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of wealth advisors with a minimum of seven years of experience and weighing factors like revenue trends, assets under management, compliance records, industry experience and best practices learned through telephone and in-person interviews. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. Rankings are based on the opinions of Forbes and not representative nor indicative of any one client's experience, future performance, or investment outcome. Rankings and recognition from *Forbes* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor. Neither *Forbes* nor SHOOK receives a fee in exchange for rankings. All rights reserved.

***Forbes*' "America's Top 250 Wealth Advisors" in 2018**

Source: The *Forbes*' (September 12, 2018) ranking of "America's Top 250 Wealth Advisors," developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of wealth advisors with a minimum of seven years of experience and weighing factors like revenue trends, assets under management, compliance records, industry experience and best practices learned through telephone and in-person interviews. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. Rankings are based on the opinions of *Forbes* and not representative nor indicative of any one client's experience, future performance, or investment outcome. Neither *Forbes* nor SHOOK receives a fee in exchange for rankings. Rankings and recognition from *Forbes* are no guarantee of future investment success and do not ensure that a current or prospective client will experience a higher level of performance results, and such rankings should not be construed as an endorsement of the advisor. All rights reserved.

***Forbes*' "America's Top 250 Wealth Advisors" in 2017**

Source: The *Forbes*' (September 26, 2017) ranking of "America's Top 250 Wealth Advisors", developed by SHOOK Research, is based on an algorithm of qualitative and quantitative data, rating thousands of wealth advisors with a minimum of seven years of experience and weighing factors like revenue trends, assets under management, compliance records, industry experience and best practices learned through telephone and in-person interviews. Portfolio performance is not a criteria due to varying client objectives and lack of audited data. Neither *Forbes* or SHOOK receives a fee in exchange for rankings. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.forbes.com/sites/halahtouryalai/2017/09/26/americas-top-wealth-advisors-2017/#44e135e44851.

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Working Mother/SHOOK Research's Top Wealth Adviser Moms

***Working Mother*/SHOOK Research's Top Wealth Adviser Moms in 2017**

Source: For *Working Mother*/SHOOK Research's "Top Wealth Adviser Moms" list, October 3, 2017, SHOOK™ Research considered Wealth Advisors who are mothers with children living at home and under the age of 18. Ranking algorithm is based on qualitative measures derived from telephone and in-person interviews and surveys: service models, investing process, client retention, industry experience, review of compliance records, firm nominations, etc.; and quantitative criteria, such as assets under management and revenue generated for their firms. Investment performance is not a criterion because client objectives and risk tolerances vary, and advisors rarely have audited performance reports. Rankings are based on the opinions of SHOOK Research, LLC. Neither SHOOK nor *Working Mother* receives compensation from the advisors or their firms in exchange for placement on a ranking. For more information see http://details-he.re/4JoTZ9. The ranking or ratings shown here may not be representative of all client experiences because they reflect an average or sampling of the client experiences. These rankings or ratings are not indicative of any future performance or investment outcome. For more information, visit https://www.workingmother.com/top-wealth-adviser-moms-2017.

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