EXPERIENCED AND INDEPENDENT ADVISORS WORKING PRIMARILY WITH ACCREDITED ENGINEERS, EXECUTIVES, AND ENTREPRENEURS

SARVER VROOMAN
WEALTH ADVISORS

9237 Ward Parkway, Suite 320 | Kansas City, MO 64114

from left: **Ron Dietz, CPWA®, CIMA®,** Partner, Wealth Advisor; **Kat Aguilar,** Operations Specialist; **Jonathan Sarver, CPWA®,** Managing Partner, Wealth Advisor; **Jessica Estes, MBA,** Operations Manager; **Peter Vrooman, CFA®, CIMA®, CRPC®, CAIA®,** Managing Partner, Wealth Advisor; **Thomas King, MS in Finance,** Partner, Wealth Advisor

Why Sarver Vrooman Wealth Advisors?

Experience

Peter Vrooman, Managing Partner, Wealth Advisor, and Jonathan Sarver, Managing Partner, Wealth Advisor, have worked together in helping affluent clients since 1999. They believe their emphasis on risk management strategies and retirement income investing has served clients well, navigating both bull markets and three bear markets (2000 to 2002, 2007 to 2009 and pandemic-induced 2020). Peter and Jonathan are at the prime of their careers. Jessica Estes-Fleischmann has excelled as Operations Manager, handling all the trading, paperwork, distributions, and operations for the team since 2009. Ron Dietz adds an additional 15 years of financial services experience to the team. Thomas King has 7 years of financial service experience, two of the years were spent working with Peter and Jonathan at Wells Fargo Advisors Private Client Group. Kat Aguilar, operations specialist, has 6 years of financial services experience.

Credentials

Peter Vrooman, CFA®, CAIA®, CIMA®, CRPC®, *Managing Partner, Wealth Advisor*

Peter Vrooman has been in Financial Services since 1994. He graduated from the University of Chicago with a BA in Economics. He has achieved four designations: the Certified Investment Management Analyst (CIMA®) designation, the Chartered Financial Analyst (CFA®) designation, the Chartered Alternative Investment Analyst (CAIA®) designation and the Chartered Retirement Planning Counselor (CRPC®) designation.

Jonathan Sarver, CPWA®, *Managing Partner, Wealth Advisor*

Jonathan Sarver has approximately 26 years of financial services experience. Jonathan graduated from both Seton Hall University School of Law and Boston University. He graduated BU with *cum laude* honors. In 2016 Jonathan authored a book entitled, *6 Essential Practices for Income Investors.* On October 30, 2017, Jonathan obtained the Certified Private Wealth Advisor (CPWA®) designation, administered by Investments & Wealth Institute and taught in conjunction with the University of Chicago Booth School of Business. The Certified Private Wealth Advisor® certification is an advanced credential created specifically for wealth managers who work with high-net-worth clients.

Ron Dietz, CPWA®, CIMA®, *Partner, Wealth Advisor*

Ron Dietz has over 15 years of financial services experience. Ron graduated from Carthage College with a double major in accounting and business administration. In November of 2022, Ron achieved the Certified Private Wealth Advisor (CPWA®) certification. In February of 2023, Ron was granted the Certified Investment Management Analyst (CIMA®) designation.

Thomas King, MS in Finance, *Partner, Wealth Advisor*

Thomas King received his business administration degree from UMKC and his master's degree in finance from the University of Miami.

Why Sarver Vrooman Wealth Advisors? continued

Operations Staff

Jessica Estes-Fleischmann, MBA, Operations Manager, has been securities registered since 2004. She earned an MBA from Avila University.

Kat Aguilar, Operations Specialist, has 6 years of financial services experience in the area of operations.

Team Approach

Holistic Wealth Management with a Virtual Family Office Approach

The team takes a holistic approach to wealth management to serve as a virtual family office. The independent, primarily fee-based, fiduciary advisory team operates with a client-centric focus on risk management and retirement investing for accredited engineers, executives, and entrepreneurs. The team works with internal and external partners to help clients with asset allocation, retirement planning, estate planning, tax-aware strategies, social security planning, life insurance, access to lending services through Wells Fargo affiliates, alternative investments, private real estate, private equity, health insurance planning, long term care, charitable gifting, and college planning.

Forbes Ranking

In April 2022, Peter and Jonathan were ranked on the FORBES Best-In-State Wealth Advisor list for Missouri. In January 2023, Sarver Vrooman Wealth Advisors was ranked #29 on the FORBES Best-In-State Wealth Management Teams list for Missouri.

The Forbes Best-In-State ranking algorithm is based on industry experience, interviews, compliance records, assets under management, revenue and other criteria by SHOOK Research, LLC, which does not receive compensation from the advisors or their firms in exchange for placement on a ranking. Investment performance is not a criterion.

Open Architecture/Non-Proprietary

The Sarver Vrooman Wealth Advisors team uses an “open architecture” approach taking advantage of the diverse investment offerings from institutional grade investment firms from around the world to manage investments for accredited clients. The team focuses on non-proprietary discretionary investing to prevent conflicts of interest and make certain to recommend strategies with an attractive risk-reward trade off.

Organic Growth

A significant portion of the team’s clients come from referrals from current clients. Often clients will bring friends, relatives, and co-workers to Sarver Vrooman Wealth Advisors’ educational seminars or client events. Many clients are engineers, corporate executives, or entrepreneurs who have been referred by other co-workers. The team is proud of the fact that virtually 100% of their clients have deliberately chosen to work with Sarver Vrooman Wealth Advisors, rather than clients being acquired through acquisition upon another advisor’s retirement or departure.