

This brochure supplement provides information about Craig A. Spry that supplements the Naples Asset Management Co., LLC brochure. You should have received a copy of that brochure. Please contact Paul McIntyre if you did not receive Naples Asset Management Co., LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Craig A. Spry, CFP® is also available on the SEC's website at www.adviserinfo.sec.gov.



Form ADV Part 2B – Individual Disclosure Brochure

for

Craig A. Spry, CFP[®]

Personal CRD Number: 3097991

Investment Adviser Representative

MAILING ADDRESS:

NAMCOA[®] - Naples Asset Management Co., LLC[®]

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UPDATED: 10/2/2024

Item 2: Educational Background and Business Experience

Name: Craig A. Spry **Born:** 1972

Educational Background and Professional Designations:

Education:

- University of North Carolina Charlotte, B.S. Business Administration
Major in Finance
- CFP® Financial Planning, College of Financial Planning – 1998
- Series 7 (General Securities) 1998
- Series 65 (Investment Adviser Law) 1998
- Series 63 (Uniform Securities Agent State Law) 1998
- SIE (Securities Industry Essential Examination)
- North Carolina Life Variable Annuity and Health License 1998

Post-Secondary Education:

- University of North Carolina at Charlotte College for Financial
Planning, CFP Certificated 2008

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- **Continuing Education** – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- **Ethics** – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Business Background:

Investment Adviser Representative	NAMCOA®	10/2024 to Present
Certified Financial Planner	Infinite Wealth Advisors, LLC	09/2024 - Present
Senior Financial Planner and Investment Advisor Representative	Worth Advisors, LLC, a Registered Investment Advisor (RIA)	07/2022 - 01/2023
Senior Financial Planner and Investment Advisor	New York Life, a Registered Investment Advisor (RIA)	09/2021 - 06/2022
Investment Adviser Representative	Eagle Strategies, LLC	01/2022 - 07/2022
Private Financial Advisor	SunTrust Advisory Services, a Registered Investment Advisor (RIA)	06/2015 - 10/2020
Registered Representative	Various FINRA Member Broker Dealers	03/1998 - 06/2015

Associations:

- Certified Financial Planner Board of Standards (CFP®)

Item 3: Disciplinary Information

Craig A. Spry, CFP® has regulatory events that we believe are not material to a client's prospective evaluation of this advisory business.

More information can be found at the FINRA Broker Check website: <http://www.finra.org/brokercheck>; or at the IAPD website www.adviserinfo.sec.gov.

Item 4: Other Business Activities

Craig A. Spry CFP® is a licensed insurance agent. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Naples Asset Management Co., LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Naples Asset Management Co., LLC in their capacity as a licensed insurance agent.

Item 5: Additional Compensation

Craig A. Spry, CFP® does not receive any economic benefit from any person, company, or organization, other than Naples Asset Management Co., LLC

in exchange for providing clients advisory services through Naples Asset Management Co., LLC.

Item 6: Supervision

As a representative of Naples Asset Management Co., LLC, Craig A. Spry, CFP® is supervised by Paul McIntyre, the firm's Chief Compliance Officer. Paul McIntyre is responsible for ensuring that Craig A. Spry, CFP® adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Paul McIntyre is (239) 287-3789.

