ROCHESTER WEALTH STRATEGIES, LLC
439 S. Main St., Suite 250, Rochester, MI 48307
248-434-6550

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PRIVACY POLICY

FACTS	WHAT DOES ROCHESTER WEALTH STRATEGIES, LLC DO WITH YOUR FINANCIAL INFORMATION?
Why?	Investment advisers are required by law to inform their clients of their policies regarding the privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives consumers the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. The types of personal information we collect and share depends on the product or service you have with us. This information can include, but is not limited to, your Social Security number, date of birth, banking information, financial account numbers and/or balances, transaction history, asset information, and sources of income. When you are no longer our client, we may continue to share your information only as described in this notice.
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Rochester Wealth Strategies, LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Rochester Wealth Strategies, LLC share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates' everyday business purposes – information about your transactions and experiences	No	Not Applicable
For our affiliates' everyday business purposes – information about your creditworthiness	No	Not Applicable
For our affiliates to market to you	No	Not Applicable
For nonaffiliates to market to you	No	Not Applicable

If you are a new client, we may begin sharing your information on the day you sign our agreement. When you are no longer our client, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

Who we are	
Who is providing this notice?	Rochester Wealth Strategies, LLC

What we do	
How does Rochester Wealth Strategies, LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building.
How does Rochester Wealth Strategies, LLC collect my personal information?	We collect your personal information, for example, when you: ▪ Open an account ▪ Deposit money ▪ Seek advice about your investments ▪ Enter into an investment advisory contract ▪ Tell us about your investment or retirement portfolio or earnings We also collect your personal information from other companies.

Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ▪ *We have no affiliates*
Nonaffiliates	Companies not related by common ownership or control. They can be financial or nonfinancial companies. ▪ *We do not share with nonaffiliates so that they can market to you.*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ▪ *We do not jointly market.*
Service provider	A person or company who assists our firm in administering, processing, or servicing a client's account.

Questions?	Call: 248-434-6550
	Email: info@rochesterwealthstrategies.com