

GENESIS

Financial Group

Privacy Notice

Purpose of this Privacy Notice	<p>In the course of providing clients with advisory services, we may collect, retain, and use client information for the purpose of administering our operations, providing client service, and complying with legal and regulatory requirements. This information may come from sources such as account applications, investment policy statements, from client transactions, and other forms from other written, electronic or verbal correspondence from client brokerage, attorney, accountant or other advisor the client may employ. We do not sell, exchange or disclose client information with outside organizations unless the third party is essential in administering our operations or except as required or permitted by law.</p> <p>No confidential information, whatever the source, regarding any customer or client, may be disclosed except the following: We reserve the right to disclose or report personal information where we believe in good faith that disclosure is required under law, to cooperate with regulators or law enforcement authorities. Adviser reserves the right to disclose information with other Adviser employees in connection with the Adviser’s business and to non-affiliated third parties with whom the Adviser has a contractual agreement to jointly offer, endorse or sponsor a financial product or service; and to service and maintain customer accounts including effectuating a transaction. Adviser may disclose information about client or client’s account to a non-affiliated third party at client’s written or verbal request.</p>		
Why?	<p>Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.</p> <p>SMS opt-in or phone numbers for the purpose of SMS are not being shared. No mobile information will be shared with third parties/affiliates for marketing/promotional purposes. All other categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties</p>		
What?	<p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none">• Social Security number and income• Account balances and payment history• Credit history and credit scores		
How?	<p>All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons we choose to share; and whether you can limit this sharing.</p>		
Reasons we can share your personal information		Do we share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your		Yes	No

accounts(s), respond to court orders and legal investigations, or report to credit bureaus		
For our marketing purposes - to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	Yes
For our affiliates' everyday business purposes – information about your creditworthiness	Yes	Yes
For our affiliates to market to you	Yes	Yes
For non-affiliates to market to you	No	Not Applicable

To limit our sharing	<p>*Mail the form below.</p> <p>Please note: If you are a new customer, we can begin sharing your information from the date you received this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
Questions?	Call (201) 264-2575
Who we are	
Who is providing this notice?	Genesis Financial Group, LLC (“GFG”)
What we do	
How does GFG protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards, secured files, and buildings.
How does GFG collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> • Open an account
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only.</p> <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes—information about your creditworthiness • Affiliates from using your information to market to you. • Sharing for non-affiliates to market to you

	State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly?	Your choices will apply to everyone on your account—unless you tell us otherwise.
Communication Preferences	You can contact us using the information included at the end of this policy to make changes to your communication preferences. SMS opt-in or phone numbers for the purpose of SMS are not being shared.
Security	To safeguard client information digitally, we maintain password protected systems, updated anti-virus and anti-spyware software, and encrypted hardware and software firewalls.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies.
Non-affiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Mail-in this section			
<p>If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.</p> <ul style="list-style-type: none"> Apply only to me 	<p>Mark any you want to limit:</p> <ul style="list-style-type: none"> Do not share information about your transactions and experiences with our affiliates for their everyday business purposes. Do not share information about my creditworthiness with our affiliates for their everyday business purposes. Do not allow your affiliates to use my personal information to market to me. 		
	Name		<p>Mail to: Genesis Financial Group 80 W Century Road Suite 303 Paramus, NJ 07652</p>
	Address		
	City, State, Zip		
	Phone Number		