The Dahlquist Group

Integrated wealth planning from your team of advisors
UBS Financial Services Inc.
Private Wealth Management

Comprehensive knowledge for your complex financial needs

Our clients, whether corporate executives, business owners or families of significant means, require an experienced team to manage their complex financial lives. They expect to have the right resources around them. They demand clear options so they can make wise, informed decisions.

That's why we feel a select clientele turn to the capable leadership and connected resources of the Dahlquist Group.

Integrating your life and your financial world
We are a planning-based team with a keen understanding of the issues of executive compensation. Because of our structured approach, we have built deep and trusted relationships.

In addition, as part of the Private Wealth Management division at UBS, we can coordinate all aspects of your family's financial life. We maximize the resources you need—whether that's from our team, our firm or your outside advisor—so that we can put a tangible plan in place, provide the guidance you need and execute on your goals in an efficient and reliable manner.

"Our goal is to be your core advisor. We have a resource for everything that can affect your financial life, including a significant amount of team experience, UBS resources and outside contacts."

– Erik Dahlquist

Understanding your unique financial situation

Our planning approach goes well beyond providing an asset allocation process; we take the time to understand your entire financial life and your unique investment biases. Through a series of meaningful conversations, we can help prioritize goals, explain the impact of cash flow, seek out proper asset allocation, mitigate risk and assess appropriate estate and charitable planning strategies.

Corporate executive experience
For over 25 years, we have provided guidance to top corporate executives. We have vast experience as equity compensation and corporate stock benefit consultants. We count more than 25 CEOs as our clients and pride ourselves on the genuine relationships we have built with both corporate institutions and individual investors.

Integrated wealth planning
We are well positioned to help corporate executives with the financial planning they need to get the most from their compensation plan and to integrate those assets with their overall investment strategy. Our customized planning services integrate company benefits and compensation strategies—including plan distribution, deferred compensation and stock options—with your personal financial life.

Service focused on the total relationship

Our clients demand excellent service; that's why we've deliberately built relationships designed to help ensure you will get an unprecedented level of personal attention.

We want our clients to know that we have enthusiasm and drive. We are an experienced team but still young enough to grow with our clients' changing needs and serve multiple generations of families.

Working together
Our team works closely together, communicating regularly, tapping each member's strengths. Informed by a robust exchange of insights from within the firm and from outside experts, we offer our clients a conduit to relevant financial guidance. We bring the firm to our clients by providing research and access to top thought leaders in a wide range of fields.

Accessibility and personal attention
We provide a communication plan that is flexible and wholly customized to your needs and schedule: we set clear expectations about regular contact as well as quarterly and annual reviews.* We are highly accessible, providing consistent communication and contact from every member of the group, including our dedicated client support team. We seek to build client trust by being discreet, confidential and transparent about our fees and services.

* We provide periodic monitoring of advisory accounts in accordance with the client's agreements with UBS. Unless separately agreed in writing, UBS does not manage client's brokerage account, and clients make the ultimate decision regarding the purchase or sale of investments.

"We work within and around our clients' unique investment biases. That's why financial education is essential to our team."

– Michael Singh

Long-range impact on your family's wealth
We understand the connections between wealth and family, and we're committed to having a multigenerational impact on your life. We are positioned to address every holistic family issue, ranging from wealth transfer and preservation strategies to philanthropic pursuits. We cover your entire financial world: if people and causes are important to you, they are important to us.

Global resources available to Private Wealth Management clients

As part of the firm's Private Wealth Management division, we can offer individuals and families of significant means a full range of services. We provide access to sophisticated portfolio and risk management services, innovative asset allocation, concentrated stock diversification and hedging, tax-advantaged strategies and guidance in philanthropic services.

UBS has wealth management at its core. Our firm's 160-year history of working with affluent families provides us with insights and understanding into the complexities of wealth. While we benefit from the client focus of the firm, we never lose our independence. We have access to these select UBS strategic partners:

Portfolio Advisory Group
Working with PAG, we can tailor highly sophisticated portfolio structuring solutions for you. PAG specializes in partnering with our research team to develop dynamic asset allocations along with the quantitative analysis that supports those choices.

UBS Investment Bank
We have created deep connections within UBS Group AG that have provided us with important access to opportunities within the UBS Investment Bank. Using our strategic partnership with the UBS Investment Bank we are well positioned to help your company raise capital for acquisitions and growth and provide advisory services to corporate boards.

Third-party investment managers
We provide clients access to a top-performing investment platform and a network of leading portfolio managers, chosen and vetted over time from a diverse industry pool. Their wide-ranging knowledge covers all asset classes, and includes both traditional and nontraditional asset management. Be assured that our recommendations are based entirely on what's best for your circumstances.

Personalized service dedicated to building close client relationships

We limit the number of clients to ensure we continue to provide high quality service. Because of the type of clients we advise, we have the broad experience and specific skills necessary to handle complex financial needs.

Getting the most from executive compensation plans

We can help corporate executives integrate their compensation plan benefits with their individual strategy and gain the most value from their compensation. We address such issues as:

- Defined benefit and defined contribution solutions
- Impact of stock options (ISOs, NQSOs), RSUs, Founders Stock and QSBS
- Concentrated stock position diversification
- Retirement planning
- Pre-IPO planning
- Sophisticated cash flow planning and liquidity management
- Tax mitigation strategies

The unique needs of entrepreneurs and business owners

We work with a select number of entrepreneurial-minded clients. We address the full cycle of private business needs—from venture funding through the complexities of selling your business or taking it public, including:

- Work with IB and GFIW for client M&A planning
- We work with WWS (workplace wealth solutions) for benefit and compensation plans
- Income and estate tax planning strategies
- Succession planning
- Liability management

Individuals and families with wealth transfer opportunities

We address every family financial issue, ranging from retirement to wealth transfer to philanthropy. We focus on your entire financial situation, to help safeguard your family's wealth, including:

- Financial planning and cash flow analysis
- Trust and estate planning strategies
- Tax mitigation strategies
- Legacy planning
- Donor-advised funds, private foundations, charitable trusts and philanthropic services
- Educating successive generations on prudent wealth management
- Risk management

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Erik Dahlquist has been named a *Forbes* Best-In-State Wealth Advisors 2019 – 2026.

The *Forbes* rating is compiled by SHOOK Research and awarded annually in April based on information from a 12-month period ending June of the prior year. Eligibility is based on quantitative factors and is not necessarily related to the quality of the investment advice.

UBS is an official participant in the Institutional Financial Advisor program for the NFL Players Association (NFLPA). Participating advisors were selected by UBS based on program eligibility criteria established by the NFLPA. Advisors in the program are not endorsed by the NFLPA.

For more information on third-party rating methodologies and designation disclosures, please visit ubs.com/us/en/designation-disclosures.

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Asset allocation and diversification strategies do not guarantee profit and may not protect against loss.

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